

#4



Attorney Docket No. P4803  
Client Matter No. 80168.0097

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

William J. Young, Tricia M. Roush, Bruce R. Geerdes

Serial No. 09/740,212

Filed: December 15, 2000

For: SYSTEM FOR INTERFACING AN APPLICATION  
PROGRAM WITH DIVERSE DATABASES

Group Art Unit: 2171

Examiner:

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OCT 05 2001

Technology Center 2100

Commissioner for Patents  
Washington, D.C. 20231

**POWER OF ATTORNEY BY ASSIGNEE AND  
REVOCATION OF PREVIOUS POWERS**

Sun Microsystems, Inc., assignee of the entire right, title and interest in the above-identified application, hereby revokes all previous powers of attorney in the above-identified application and hereby grants power of attorney to the registered practitioners of Hogan & Hartson, LLP included in the Customer Number provided below to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

All correspondence should be addressed to the Customer Number provided below:

**Customer Number: 25235**



Assignee appoints William J. Kubida, Reg. No. 29,664; Stuart T. Langley, Reg. No. 33,940; Matthew Bailey, Reg. No. 33,829; Carol W. Burton, Reg. No. 35,465; Celine Crowson, Reg. No. 40,357; Alfred A. D'Andrea, Jr., Reg. No. 27,752; Wei-Fu Hsu, Reg. No. 45,723; David Lubitz, Reg. No. 38,229; Stuart Lubitz, Reg. No. 20,680; Sarah S. O'Rourke, Reg. No. 41,226; Steven C. Petersen, Reg. No. 36,238; John P. Scherlacher, Reg. No. 23,009; William H. Wright, Reg. No. 36,312; Wei-Ning Yang, Reg. No. 38,690; Kent A. Lembke, Reg. No. 44,866; J. Michael Thesz, Reg. No. 40,354; Naomi Voegtli, Reg. No. 44,371; Ray Aust, Reg. No. 39,590; Michael L. Crapenhof, Reg. No. 37,115; Ajit Vaidya, Reg. No. 43,214; Sterlon Mason, Reg. No. 41,179; Kevin Shaw, Reg. No. 43,110; Lawrence McClure, Reg. No. 44,228; Ronald C. Gorsche, Reg. No. 45,505; Peter J. Meza, Reg. No. 32,920; David D. Nelson, Reg. No. 47,818; William Nixon, Reg. No. 44,262; and Stephen B. Parker, Reg. No. 36,631 of Hogan & Hartson LLP; and Kenneth Olsen, Reg. No. 26,493; Timothy J. Crean, Reg. No. 37,116; Alexander E. Silverman, Reg. No. 37,940; Anirma R. Gupta, Reg. No. 38,275; Sean

P. Lewis, Reg. No. 42,798; Michael J. Schallop, Reg. No. 44,319; Bernice B. Chen, Reg. No. 42,403; Noreen A. Krall, Reg. No. 39,734; Monica D. Ward, Reg. No. 40,696; Marc D. Foodman, Reg. No. 34,110; Naren Chaganti, Reg. No. 44,602; Elaine Lee, Reg. No. 41,936; Hugh H. Matsubayashi, Reg. No. 43,779; Paul D. Sorkin, Reg. No. 39,039; Ramin Aghevli, Reg. No. 43,462; Marilyn E. Glaubenskle, Reg. No. 35,521; Andrew C. Chen, Reg. No. 43,544; Jeffrey L. Myers, Reg. No. 44,252; Pavel Pogodin, Reg. No. P48,205; of Sun Microsystems, Inc. to prosecute this application and transact all business in the Patent and Trademark Office.

**All telephone calls should be directed to William J. Kubida at 719-448-5909.**

Statement Under 37 CFR § 3.73(b)

Sun Microsystems, Inc. states that it is the assignee of the entire right, title and interest in the above-identified application by virtue of an assignment from the inventor(s) which was recorded in the Patent and Trademark Office at Reel (011392), Frame (0691), or as shown on the copy of the assignment enclosed herewith.

The undersigned (which title is supplied below) is empowered to sign this statement on behalf of the assignee.

ASSIGNEE:

Date: September 18, 2001

SUN MICROSYSTEMS, INC.

By: 

Name: Kenneth Olsen, Reg. No. 26,493  
Title: Vice President of Intellectual Property  
Address: 901 San Antonio Road  
MS PAL01-521  
Palo Alto, CA 94303



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

AUGUST 20, 2001

PTAS

HOGAN & HARTSON LLP  
WILLIAM J. KUBIDA, ESQ.  
1200 17TH STREET, SUITE 1500  
DENVER, CO 80202



CORRECTED  
NOTICE

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 12/20/2000

REEL/FRAME: 011392/0691  
NUMBER OF PAGES: 6

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

YOUNG, WILLIAM J.

DOC DATE: 12/05/2000

ASSIGNOR:

ROUSH, TRICIA M.

DOC DATE: 12/06/2000

ASSIGNOR:

GEERDES, BRUCE R.

DOC DATE: 12/05/2000

ASSIGNEE:

SUN MICROSYSTEMS, INC. A DELAWARE  
CORPORATION  
901 SAN ANTONIO ROAD MS PALO1-521  
PALO ALTO, CALIFORNIA 94303

SERIAL NUMBER: 09740212  
PATENT NUMBER:

FILING DATE: 12/15/2000  
ISSUE DATE:

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OCT 05 2001

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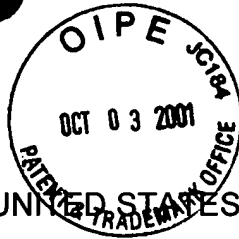
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HOGAN & HARTSON, LLP

011392/0691 PAGE 2

MARGARET LASALLE, PARALEGAL  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

2471



Attorney Docket No. P4803  
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CERTIFICATE OF MAILING BY FIRST CLASS MAIL

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The undersigned hereby certifies that the following documents:

1. Power of Attorney by Assignee and Revocation of Previous Powers;
2. Certificate of Mailing By Express Mail;
3. Return Postcard.

relating to the above application, were deposited as "First Class Mail", with the United States Postal Service, addressed to The Assistant Commissioner for Patents, Washington, D.C., 20231, on 26 Sept. 2001

26 September 2001  
Date

26 September 2001  
Date

Mailer

  
William J. Kubida, Reg. No. 29,664  
HOGAN & HARTSON<sub>LLP</sub>  
One Tabor Center  
1200 17th Street, Suite 1500  
Denver, Colorado 80202  
(719) 448-5909 Tel  
(303) 899-7333 Fax

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Examiner:

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Assignment Division  
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Washington, D.C. 20231

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Sir:

The undersigned hereby certifies that the following documents:

1. Original Recordation Form Cover Sheet PTO 1595 and copy of Filing Receipt showing Filing Date;
2. New Recordation cover sheet fully completed and executed;
3. Executed Assignment;
4. Certificate of Mailing By First Class Mail; and
5. Return postcard

relating to the above application, were deposited as "First Class Mail" with the United States Postal Service, addressed to the U.S. Patent and Trademark Office, 30 July 2001.

30 July 2001  
Date

30 July 2001  
Date

Mailer

  
William J. Kubida, Reg. No. 29,664  
HOGAN & HARTSON LLP  
One Tabor Center  
1200 17th Street, Suite 1500  
Denver, Colorado 80202  
(719) 448-5909 Tel  
(303) 899-7333 Fax



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

MARCH 15, 2001

HOGAN & HARTSON LLP  
WILLIAM J. KUBIDA, ESQ.  
1200 17TH STREET, SUITE 1500  
DENVER, CO 80202

PTAS



\*101573179A\*

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DOC DATE: 12/05/2000

ASSIGNEE:  
SUN MICROSYSTEMS, INC. A DELAWARE  
CORPORATION  
901 SAN ANTONIO ROAD MS PALO1-521  
PALO ALTO, CALIFORNIA 94303

SERIAL NUMBER: 09740212  
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FILING DATE: 12/20/2000  
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Submission Type

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Document ID#   
☒ Correction of PTO Error  
Reel #  011392 Frame #  0691  
☐ Corrective Document  
Reel #  Frame #

Conveyance Type

☒ Assignment ☐ Security Agreement  
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Conveying Party(ies)

Name (line 1)  Young, William J. Execution Date  
Month Day Year  
12/05/00  
Name (line 2)   
Second Party  
Name (line 1)  Roush, Tricia M. Execution Date  
Month Day Year  
12/06/00  
Name (line 2)   
Third Party  
Name (line 1)  Geerdes, Bruce R. Execution Date  
Month Day Year  
12/05/00  
Name (line 2)

Receiving Party

☐ Mark if additional names of receiving parties attached

Name (line 1)  Sun Microsystems, Inc.  
Name (line 2)  a corporation  
Address (line 1)  901 San Antonio Road  
Address (line 2)  MS PAL01-521  
Address (line 3)  Palo Alto  CA/USA  94303  
City State/Country Zip Code

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Correspondent Name and Address

Area Code and Telephone Number

(719) 448-5909

Name (line 1) William J. Kubida, Esq.

Address (line 1) Hogan &amp; Hartson LLP

Address (line 2) 1200 17<sup>th</sup> Street, Suite 1500

Address (line 3) Denver, CO 80202

Address (line 4)

Pages

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Application Number(s) or Patent Number(s)

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Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

09/740,212

Patent Number(s)

If this document is being filed together with a new Patent Application, enter the  
date the patent application was signed by the first named executing inventor.

Month Day Year

Patent Cooperation Treaty (PCT)

Enter PCT application  
number only if a U.S.

PCT

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PCT

Application Number has not  
been assigned

PCT

PCT

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Number of Properties

Enter the total number of properties  
involved

#

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41):

\$

40.00

Method of payment:

Deposit Account

Enclosed

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Deposit Account

☐(Enter for payment by deposit account or if additional fees can be charged to the  
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Deposit Account Number:

#

50-1123

Authorization to charge additional fees:

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☒

No

☐

Statement and Signature

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached  
copy is a true copy of the original document. Charges to deposit account are authorized, as indicated  
herein.*

William J. Kubida, 29,664

Name of Person Signing

Signature

30 July 2001  
Date

**40.00 DP**

## Correspondent Name and Address

Area Code and Telephone Number

(719) 448-5909

Name (line 1) William J. Kubida, Esq.

Address (line 1) Hogan &amp; Hartson LLP

Address (line 2) 1200 17<sup>th</sup> Street, Suite 1500

Address (line 3) Denver, CO 80202

Address (line 4)

## Pages

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## Application Number(s) or Patent Number(s)

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Patent Application Number(s)


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Month Day Year

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## Patent Cooperation Treaty (PCT)

Enter PCT application number  
only if a U.S. Application  
Number has not been  
assigned

PCT

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PCT

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PCT

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PCT

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PCT

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PCT

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## Number of Properties

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Enclosed

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Deposit Account

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(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

#

50-1123

Authorization to charge additional fees:

Yes

☒

No

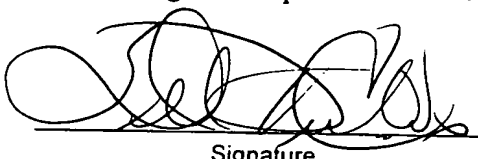
☐

## Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

William J. Kubida, 29,664

Name of Person Signing



Signature

15 DEC 00

Date

**ASSIGNMENT**

WHEREAS, we, William J. Young, having a residence address of 26069 Highway 72, Golden, CO 80403; Tricia M. Roush, having a residence address of 3694 S. Ceylon Way, Aurora, CO 80013; and Bruce R. Geerdes, having a residence address of 9815 Iris St., Westminster, CO 80021, have made a certain new and useful invention relating to a SYSTEM FOR INTERFACING AN APPLICATION PROGRAM WITH DIVERSE DATABASES, for which we are making or have made application for Letters Patent of the United States, said application being identified by Docket No. P4803 and Client/Matter No. 80168.0097 in the law firm of Hogan & Hartson, L.L.P. One Tabor Center, 1200 Seventeenth Street, Suite 1500, Denver, Colorado, 80202, and which is executed concurrently herewith, and

WHEREAS, we now own the entire right, title and interest therein; and

WHEREAS, Sun Microsystems, Inc., hereinafter ASSIGNEE, a Delaware corporation, whose post office address is 901 San Antonio Road, MS PAL01-521, Palo Alto, CA 94303, is desirous of acquiring the entire interest in and to said invention, said application, and the Letters Patent to be obtained therefor;

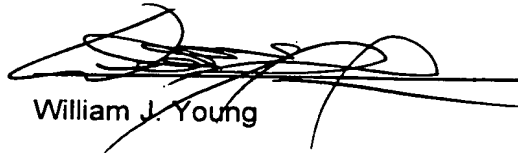
NOW THEREFORE, upon consideration of our employment and other good and valuable consideration, the adequacy of which is hereby acknowledged, we do hereby sell and assign unto ASSIGNEE and ASSIGNEE's legal representatives, successors, and assigns, the entire right, title, and interest in and to said invention, said application, and the Letters Patent, both of the United States and of other countries, and inventor's certificates, that may or shall issue thereon; and we do hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to ASSIGNEE, consistent with the terms of this Assignment.

UPON SAID CONSIDERATION, we do hereby covenant with ASSIGNEE that we will not execute any writing or do any act whatsoever conflicting with this assignment, and that we will, at any time upon request, without further or additional consideration, but at the expense of ASSIGNEE, execute such additional assignments and other writings and do such additional acts as ASSIGNEE may deem necessary or desirable to perfect ASSIGNEE's enjoyment of this grant, and render all necessary assistance in applying for and obtaining original, continuation, continuation-in-part, divisional, reexamined, renewal, reissued or extended Letters Patent of the United States, or of other countries, or inventor's certificates, on said invention, and in enforcing any rights or causes in action accruing as a result of such applications, certificates, or patents, by giving testimony in any proceedings or

transactions involving such applications, certificates, or patents, and by executing preliminary statements and other affidavits, it being understood that the foregoing covenant and agreement shall bind, and inure to the benefit of, the assigns and legal representatives of both parties.

IN WITNESS WHEREOF, we have hereunto set our hands and affixed our seals on the date hereinafter set forth.

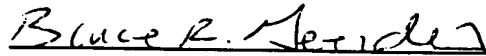
Date: 5 December 2000

  
\_\_\_\_\_  
William J. Young

Date: \_\_\_\_\_

\_\_\_\_\_  
Tricia M. Roush

Date: 12/5/00

  
\_\_\_\_\_  
Bruce R. Geerdes

**A S S I G N M E N T**

WHEREAS, we, William J. Young, having a residence address of 26069 Highway 72, Golden, CO 80403; Tricia M. Roush, having a residence address of 3694 S. Ceylon Way, Aurora, CO 80013; and Bruce R. Geerdes, having a residence address of 9815 Iris St., Westminster, CO 80021, have made a certain new and useful invention relating to a SYSTEM FOR INTERFACING AN APPLICATION PROGRAM WITH DIVERSE DATABASES, for which we are making or have made application for Letters Patent of the United States, said application being identified by Docket No. P4803 and Client/Matter No. 80168.0097 in the law firm of Hogan & Hartson, L.L.P. One Tabor Center, 1200 Seventeenth Street, Suite 1500, Denver, Colorado, 80202, and which is executed concurrently herewith, and

WHEREAS, we now own the entire right, title and interest therein; and

WHEREAS, Sun Microsystems, Inc., hereinafter ASSIGNEE, a Delaware corporation, whose post office address is 901 San Antonio Road, MS PAL01-521, Palo Alto, CA 94303, is desirous of acquiring the entire interest in and to said invention, said application, and the Letters Patent to be obtained therefor;

NOW THEREFORE, upon consideration of our employment and other good and valuable consideration, the adequacy of which is hereby acknowledged, we do hereby sell and assign unto ASSIGNEE and ASSIGNEE's legal representatives, successors, and assigns, the entire right, title, and interest in and to said invention, said application, and the Letters Patent, both of the United States and of other countries, and inventor's certificates, that may or shall issue thereon; and we do hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to ASSIGNEE, consistent with the terms of this Assignment.

UPON SAID CONSIDERATION, we do hereby covenant with ASSIGNEE that we will not execute any writing or do any act whatsoever conflicting with this assignment, and that we will, at any time upon request, without further or additional consideration, but at the expense of ASSIGNEE, execute such additional assignments and other writings and do such additional acts as ASSIGNEE may deem necessary or desirable to perfect ASSIGNEE's enjoyment of this grant, and render all necessary assistance in applying for and obtaining original, continuation, continuation-in-part, divisional, reexamined, renewal, reissued or extended Letters Patent of the United States, or of other countries, or inventor's certificates, on said invention, and in enforcing any rights or causes in action accruing as a result of such applications, certificates, or patents, by giving testimony in any proceedings or

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IN WITNESS WHEREOF, we have hereunto set our hands and affixed our seals on the date hereinafter set forth.

Date: \_\_\_\_\_

\_\_\_\_\_  
William J. Young

Date: Dec 16, 2000

Tricia M. Roush  
Tricia M. Roush

Date: \_\_\_\_\_

\_\_\_\_\_  
Bruce R. Geerdes